

Census Bureau, Commerce

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section 5(a) of the Act, are further authorized to enforce provisions of section 8(a) of the Act that provide for the following civil and criminal penalties:

(1) A civil penalty not to exceed \$10,000 may be imposed on any person who violates, or attempts to violate, any order or regulation issued under the Act.

(2) A criminal penalty not to exceed \$50,000, or;

(i) If a natural person, imprisonment for not more than 10 years, or both, may be imposed for willful violation of any license, order, or regulation issued under the Act.

(ii) If a corporation, imprisonment for not more than 10 years, or both may be imposed on any officer, director, or agent of the corporation for willful violation of any license, order, or regulation issued under the Act.

(b) *Exports of other than rough diamonds.* Any person who violates any provisions of this part, except for violations of the provisions relating to delayed filing of documents under bond as provided by §30.24 and violations of section 8 of Public Law 108–19, the Clean Diamond Trade Act, shall be liable to the United States in an amount not exceeding \$1,000 for each violation, as authorized by section 305, chapter 9, title 13 U.S.C.

[68 FR 59879, Oct. 20, 2003]

**§ 30.99 OMB control numbers assigned pursuant to the Paperwork Reduction Act.**

(a) *Purpose.* This subpart will comply with the requirements of section 3507(f) of the Paperwork Reduction Act (PRA) which requires that agencies display a current control number assigned by the Director of OMB for each agency information collection requirement.

(b) *Display.*

15 CFR section where identified and described	Current OMB control no.
30.1 through 30.7 .....	0607–0001, –0018, –0150, –0152
30.8 .....	0607–0001
30.9 through 30.11 .....	0607–0001, –0018, –0152
30.12 and 30.15 .....	0607–0001, –0018, –0152
30.16 .....	0607–0001, –0018, –0150, –0152
30.20 through 30.24 .....	0607–0001, –0018, –0150, –0152
30.30 through 30.31 .....	0607–0018, –0150, –0152
30.33 through 30.35 and 30.37 .....	0607–0001, –0018, –0150, –0152
30.39 .....	0607–0018, –0150, –0152
30.40, 30.41, and 30.50 through 30.53 .....	0607–0001, –0018, –0150, –0152

15 CFR section where identified and described	Current OMB control no.
30.54 .....	0607–0018
30.55 through 30.57 .....	0607–0001, –0018, –0150, –0152
30.82 .....	0607–0018, –0152
30.91 through 30.95 .....	0607–0001, –0018, –0150, –0152

[48 FR 56744, Dec. 23, 1983]

**APPENDIX A TO PART 30—FORMAT FOR LETTER OF INTENT, AUTOMATED EXPORT SYSTEM (AES)**

The first requirement for participation in AES is a Letter of Intent. The Letter of Intent is a written statement of a company's desire to participate in the AES. It must set forth a commitment to develop, maintain, and adhere to CBP and Census performance requirements and operations standards. Once the letter of intent is received, a CBP Client Representative and U.S. Census Bureau Client Representative will be assigned to the company. Census will forward additional information to prepare the company for participation in AES.

A. Letters of Intent should be on company letterhead and must include:

1. Company Name, Address (no P.O. Boxes), City, State, Postal Code
2. Company Contact Person, Phone Number, Fax Number, E-mail Address
3. Technical Contact Person, Phone Number, Fax Number, E-mail Address
4. Corporate Office Address, City, State, Postal Code
5. Computer Site Location Address, City, State, Postal Code (Where transmissions will be initiated)
6. Type of Business—USPPI, Freight Forwarder/Broker, Ocean Carrier, Software Vendor, Service Center, etc. (Indicate all that apply)
  - (i) Freight Forwarders/Brokers, indicate the number of USPPIs for whom you file export information (SEDs)
  - (ii) USPPIs, indicate whether you are applying for AES Option 2 or Option 4
7. U.S. Ports of Export Currently Utilized
8. Average Monthly Volume of Export Shipments (Monthly SED volume)
9. Average Monthly Value of Export Shipments (Monthly SED volume)
10. Filer Code—EIN, SSN or SCAC (Indicate all that apply)
11. Software Vendor Name, Contact, and Phone Number (if using vendor provided software)
12. Look-a-Like Remote to Copy (as provided by vendor)
13. Modes of Transportation used for export shipments (Air, Vessel, Truck, Rail, etc.)
14. Types of Merchandise exported
15. Types of Licenses or Permits
16. Anticipated Implementation Date

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B. The following self-certification statement, signed by an officer of the company, must be included in your letter of intent: "We (COMPANY NAME) certify that all statements made and all information provided herein are true and correct. I understand that civil and criminal penalties, including forfeiture and sale, may be imposed for making false or fraudulent statements herein, failing to provide the requested information or for violation of U.S. laws on exportation (13 U.S.C. 305; 22 U.S.C. 401; 18 U.S.C. 1001; 50 U.S.C. App. 2410)."

C. The AES Option 4 privilege allows a USPP to submit complete data at any time prior to or after exportation provided complete data are submitted within 10 working days after exportation. Participants will be reviewed by several government agencies prior to acceptance into the Option 4 program.

D. Send AES or Option 4 Letter of Intent to: Chief, Foreign Trade Division, U.S. Census Bureau, Washington, DC 20233 or the copy can be faxed to: 301-457-1159.

[64 FR 40981, July 28, 1999, as amended at 68 FR 42543, July 17, 2003]

**APPENDIX B TO PART 30 [RESERVED]**

**APPENDIX C TO PART 30—ELECTRONIC  
(AES) FILING CODES**

*Part I—Method of Transportation Codes*

10 Sea  
11 Sea Containerized  
12 Sea (Barge)  
20 Rail  
21 Rail Containerized  
30 Truck  
31 Truck Containerized  
32 Auto  
33 Pedestrian  
34 Road, Other  
40 Air  
41 Air Containerized  
50 Mail  
60 Passenger, Hand Carried  
70 Fixed Transport (Pipeline and Powerhouse)

*Part II—Export Information Codes*

LC Shipments valued \$2,500 or less per classification number that are required to be reported  
TP Temporary exports of domestic merchandise  
IP Shipments of merchandise imported under a Temporary Import Bond for further manufacturing or processing  
IR Shipments of merchandise imported under a Temporary Import Bond for repair  
DB Drawback  
CH Shipments of goods donated for charity  
FS Foreign Military Sales

**15 CFR Subtitle B, Ch. I (1-1-07 Edition)**

OS All other exports  
HV Shipments of personally owned vehicles  
HH Household and personal effects  
SR Ship's stores  
TE Temporary exports to be returned to the United States  
TL Merchandise leased for less than a year  
IS Shipments of merchandise imported under a Temporary Import Bond for return in the same condition  
CR Shipments moving under a carnet  
GP U.S. government shipments  
LV Shipments valued \$2,500 or less that are not required to be reported  
SS Carriers' stores for use on the carrier  
MS Shipments consigned to the U.S. Armed Forces  
GS Shipments to U.S. government agencies for their use  
DP Diplomatic pouches  
HR Human remains  
UG Gift parcels under Bureau of Industry and Security License Exception GFT  
IC Interplant correspondence  
SC Instruments of international trade  
DD Other exemptions:  
Currency  
Airline tickets  
Bank notes  
Internal revenue stamps  
State liquor stamps  
Advertising literature  
Shipments of temporary imports by foreign entities for their use  
RJ Inadmissible merchandise  
(For Manifest Use Only by AES Carriers)  
RP Shipment information filed through Census Bureau's AERP  
AE Shipment information filed through AES  
ZD Duty deferred shipments filed via AES  
FI Impelled foreign military sales  
CI Impelled goods donated for charity  
OI All other impelled exports  
(See §§ 30.50 through 30.58 for information on filing exemptions.)

*Part III—License Codes*

Department of Commerce, Bureau of Industry and Security (BIS) Licenses  
C30 BIS Licenses  
C31 SCL  
C32 NLR (CCL/NS Column 2)  
C33 NLR (All Others)  
C34 Future Use  
C35 LVS  
C36 GBS  
C37 CIV  
C38 TSR  
C39 CTP  
C40 TMP  
C41 RPL  
C42 GOV  
C43 GFT  
C44 TSU

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C45 BAG  
C46 AVS  
C47 APR  
C48 KMI  
C49 TAPS  
C50 ENC  
C51 AGR

### Nuclear Regulatory Commission (NRC) Codes

N01 NRC Form 250/250A  
N02 NRC General License

### Department of State, Directorate of Defense Trade Controls (DDTC) Codes

SAG Agreements  
S00 License Exemption Citation  
S05 DSP-5  
S61 DSP-61  
S73 DSP-73  
S85 DSP-85  
S94 DSP-94

### Department of Treasury, Office of Foreign Assets Control (OFAC) Codes

T10 OFAC Specific License  
T11 OFAC General License

### Other License Types

OPA Other Partnership Agency Licenses  
not listed above  
SCA Canadian ITAR Exemption

For export license exemptions under International Traffic in Arms Regulations, refer to 22 CFR, Parts 120-130 of the ITAR for the list of export license exemptions.

### Part IV—In-Bond Codes

70 Not-In-Bond  
36 Warehouse Withdrawal for Immediate  
Exportation  
37 Warehouse Withdrawal for Transportation and Exportation  
67 Immediate Exportation from a Foreign Trade Zone  
68 Transportation and Exportation from a Foreign Trade Zone

[64 FR 40982, July 28, 1999, as amended at 68 FR 42543, July 17, 2003]

## PART 40—TRAINING OF FOREIGN PARTICIPANTS IN CENSUS PROCEDURES AND GENERAL STATISTICS

### Sec.

- 40.1 Type of grant.
- 40.2 Qualifications.
- 40.3 Cooperation with bilateral technical assistance programs of the United States.
- 40.4 Administrative provisions on selection of participants and funding of costs.
- 40.5 Other cooperative arrangements.

AUTHORITY: 5 U.S.C. 301; 22 U.S.C. 1456; 31 U.S.C. 686. Memorandum of Agreement between the Department of Commerce and the Foreign Operations Administration Concerning Foreign Technical Assistance Work, signed June 10, 1954.

SOURCE: 28 FR 119, Jan. 4, 1963, unless otherwise noted.

### § 40.1 Type of grant.

Training grants will be awarded by the Agency for International Development (AID), in its capacity as the bilateral technical assistance agency for the United States Government, to foreign participants for training, observation, and research in the fields of censuses and statistics at the Bureau of the Census. In compliance with the needs of the participants and consistent with resources of the Bureau, training programs will be developed along the lines of a combined interne-training and/or training-in research types, and may include any or all of the following:

(a) Conference courses designed to provide the trainee with adequate background information on (1) organization and administration of the United States Bureau of the Census, (2) subject-matter areas for which the Bureau of the Census collects and compiles statistical information, (3) nature and scope of the major statistical programs maintained by other federal government agencies, (4) techniques and scope of the periodic censuses and statistical surveys, and statistical compilations undertaken by the Bureau of the Census, and (5) relation of censuses to other statistical data collected and analyzed by U.S. agencies.

(b) Seminars laboratory exercises and observation of work in the Census Bureau and other agencies with specific applicability to the participant such as (1) development of census and survey questionnaires, (2) methods of field and mail enumeration, (3) procedures for editing and coding statistical forms, (4) use of office machines, electromechanical tabulation equipment, and automatic data processing systems for mass processing of statistical data, (5) definitions and scope of the subject matters involved in the censuses and statistical programs of